

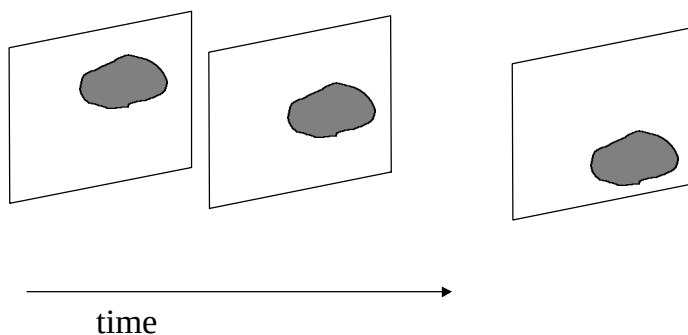
Fundamentals of Lossy Video Compression

1



@NTUEE
DSP/IC Lab

Image Sequence Model

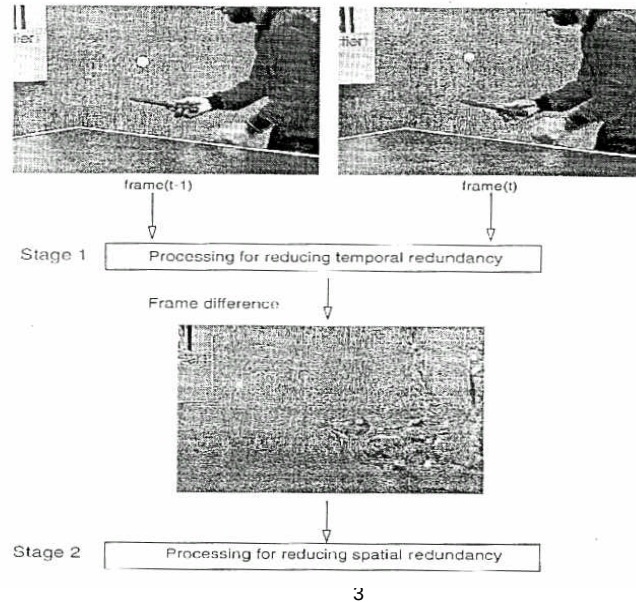


2



@NTUEE
DSP/IC Lab

Two-stage video coding process



@NTUEE
DSP/IC Lab

Stage 1 : Reducing Temporal Redundancy

- Segment a frame into macroblocks.
- Output energy is increased with the degree of temporal redundancy.
- Interframe coder .



@NTUEE
DSP/IC Lab

Stage 2 : Reducing Spatial Redundancy

- Processing the difference frame (spatially correlated) from stage 1.
- Using DCT coding.
- Intraframe coder.
- Hybrid coding method.

5



@NTUEE
DSP/IC Lab

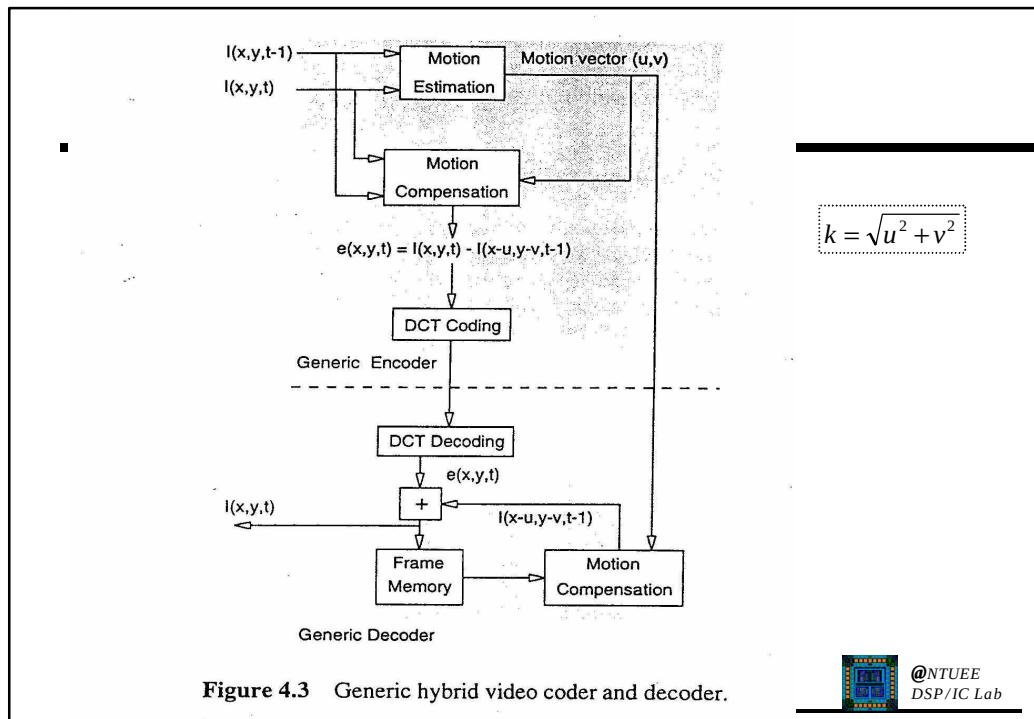
Motion Compensation

- The process of compensating for the displacement of moving objects from one frame to another.
- It is preceded by motion estimation.
- Similar with DPCM.

6



@NTUEE
DSP/IC Lab



Performance Evaluation

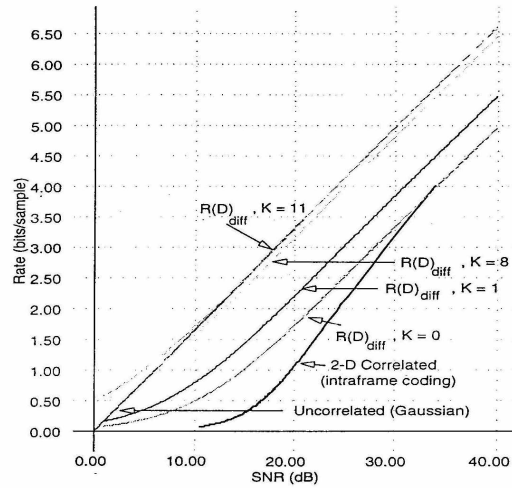
Questions before using interframe coding:

- How does intraframe coding compare to interframe coding?
- How do hybrid coding schemes compare to intraframe or interframe coding alone?
- Does the added complexity of motion compensation warrant its use
- 2-D correlated (intraframe)
- Differencing (interframe)
- Motion-compensation (interframe)
- Hybrid (interframe /intraframe)

Frame differencing with no motion compensation

- K is the displacement distance
- $K > 8$ offers no improvement, means no correlation
- All cases show that FD is good enough. Intraframe coding does the job best.

$$k = \sqrt{u^2 + v^2}$$



9



@NTUEE
DSP/IC Lab

Motion-compensated prediction

- There are 40% improvement even at high motion-estimation error of ± 1 pixel.
- Different motion precision achieve different performance.

$$R = \frac{1}{2} \log_2 \frac{S_e^2}{D}$$

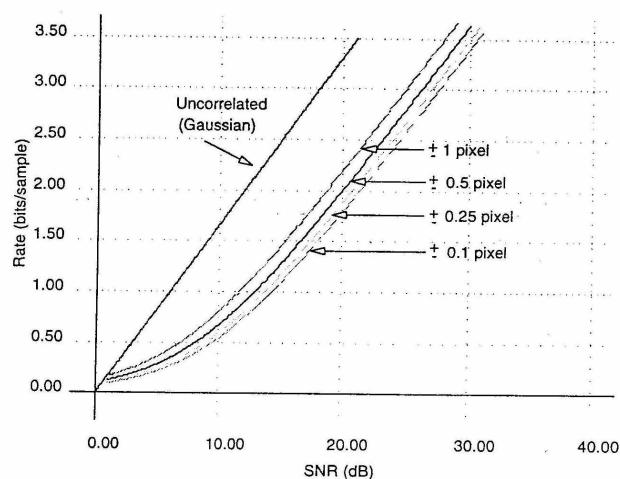


Figure 4.6 Rate-distortion performance for motion-compensated prediction with no intraframe coding.

Motion-compensated prediction v.s. intraframe coding

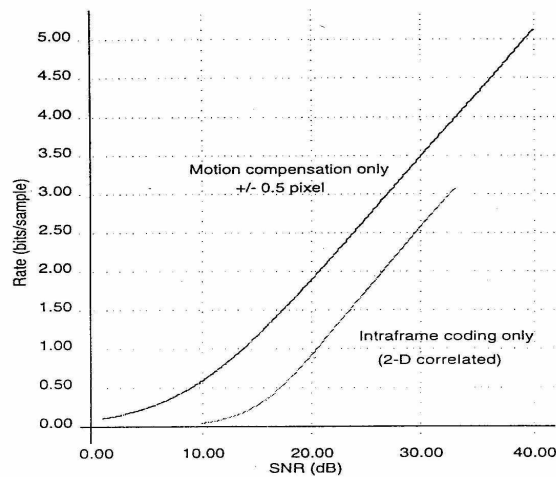
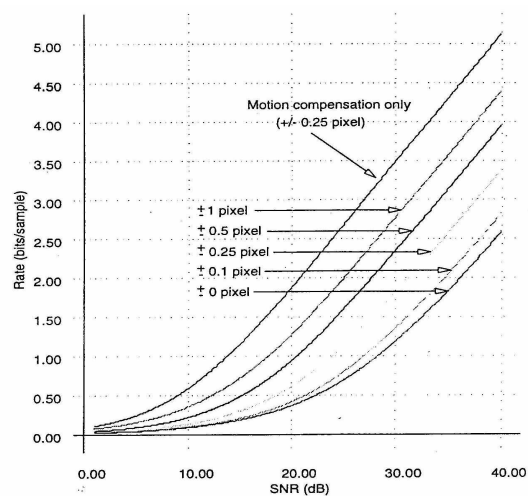


Figure 4.7 Rate-distortion performance for motion-compensated prediction versus intraframe coding.



@NTUEE
DSP/IC Lab

Hybrid Coding: Motion-compensated video followed by intraframe coding

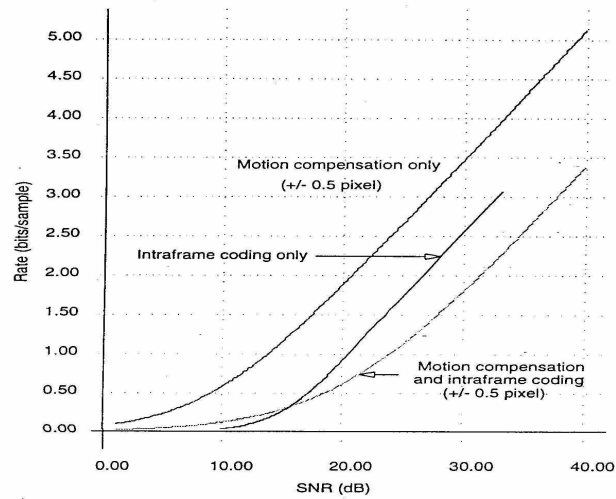


- Hybrid coding can get better results.

Figure 4.8 Rate-distortion functions for hybrid video coding.

JEE
IC Lab

Rate-distortion functions for Hybrid, interframe, and intraframe video coding



13



@NTUEE
DSP/IC Lab

R(D) Summary

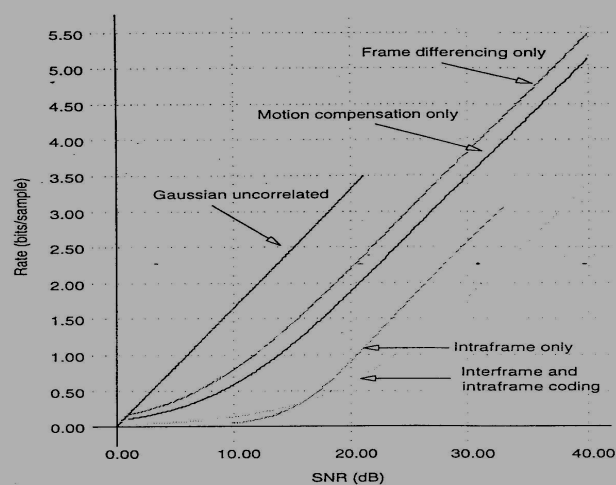


Figure 4.10 Rate-distortion functions for various video coding schemes.



@NTUEE
DSP/IC Lab

Motion-compensated prediction

- Hybrid coding method is quite effective.
- In interframe coding , the motion-estimation problem takes an important role.

15



@NTUEE
DSP/IC Lab

Motion Compensation Algorithms in Video Coding

16



@NTUEE
DSP/IC Lab

Motion Estimation

❑ Objective

Predict current frame from neighboring frames

❑ Motion Estimation Algorithm

– Pixel-based method (Pel-Recursive Algorithm)

- Large computation overhead

– **Block-based method**

- Regularity and simplicity
- Suitable for hardware implementation

– **Object-based method (content-based)**

☆ Crucial to make possible a high degree of video compression

17



@NTUEE
DSP/IC Lab

Block-Matching Motion Estimation

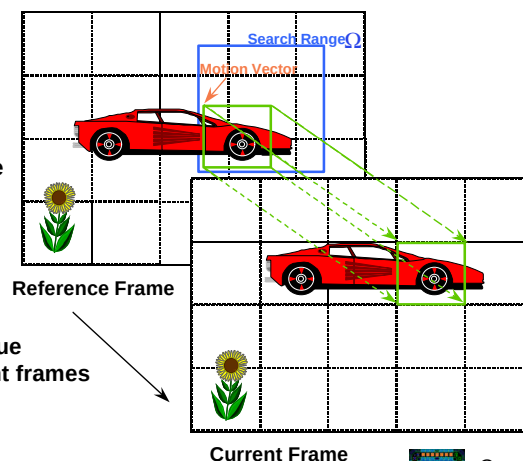
$X_t(p,q)$

the block at location (p,q) in t -th frame

Motion Vector

$V_t(p,q) = (Vec_i, Vec_j)$

the location in the search range Ω that has the maximum correlation value between blocks in temporally adjacent frames



18



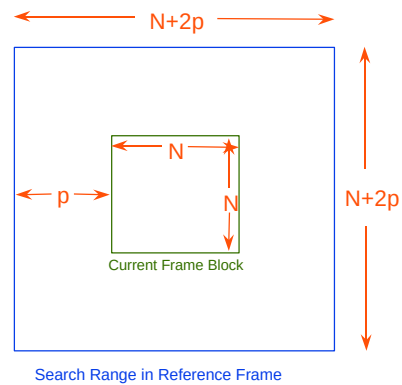
@NTUEE
DSP/IC Lab

Factors of Affecting Block-Matching Algorithm(BMA)

☞ **Search Algorithm**

☞ **Match Criterion**

☞ **Search Range**



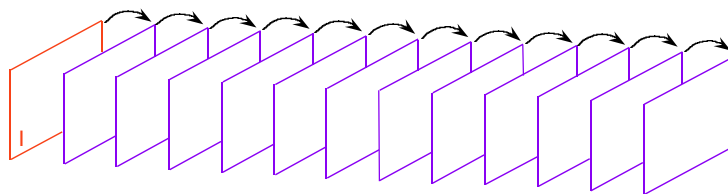
19



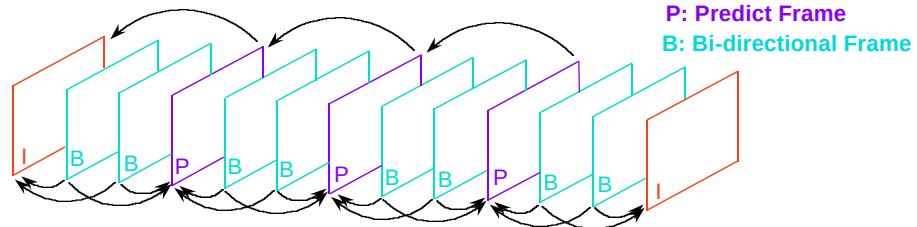
@NTUEE
DSP/IC Lab

Coding of Moving Pictures

H.261



MPEG
H.263



I: Intra Frame
P: Predict Frame
B: Bi-directional Frame

20

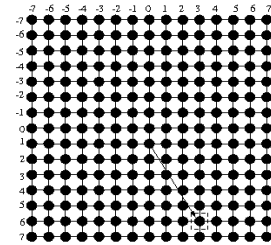


@NTUEE
DSP/IC Lab

Brief Review of Previous Algorithms

Search Algorithms

- ❑ **Two-Dimensional Full Search(2DFS)**
 - Exhaustive search
 - Extremely Large **Computation**
- ❑ **Fast Search**
 - Assumption: Monotonic Distortion Function
 - Reduce computation at the expense of accuracy
 - 2-D Logarithmic Search
 - Modified 2-D Logarithmic Search
 - Three-Step Hierarchical Search
 - Cross Search
 - One-at-a-time Search
 - Parallel Hierarchical One-Dimensional Search
 - **One-Dimensional Full Search**



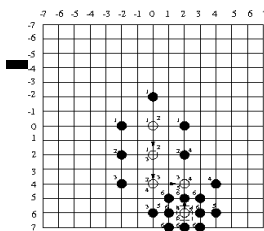
Two-Dimensional Full Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



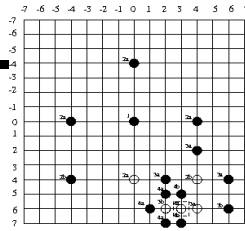
@NTUEE
DSP/IC Lab

21

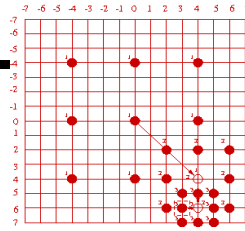
Fast Search Algorithms



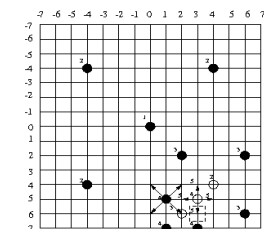
2-D Logarithmic Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



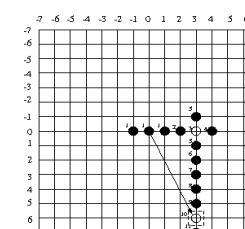
Modified 2-D Logarithmic Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



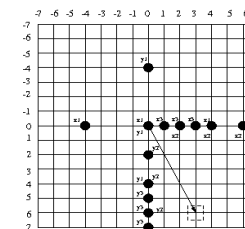
Three-Step Hierarchical Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



Cross-Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



One-at-a-time Search(OTS) Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



Parallel Hierarchical One-Dimensional Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case



@NTUEE
DSP/IC Lab

22

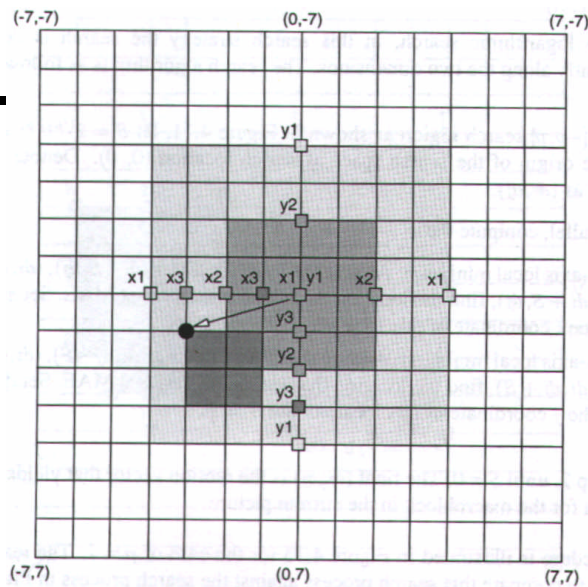


Figure 4.13 Example of PHODS strategy.

23



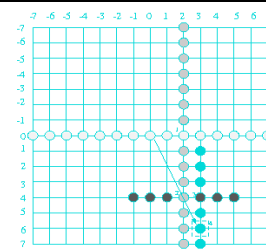
@NTUEE
DSP/IC Lab

Fast Search Algorithms

One-Dimensional Full Search

For ($i = -P$ to $+P$) $Vec_i = i \mid \min D(i, j)$
 For ($j = -P$ to $+P$) $Vec_j = j \mid \min D(Vec_i', j)$
 For ($i = -P/2$ to $+P/2$) $Vec_i = i \mid \min D(i + Vec_i', Vec_j')$
 For ($j = -P/2$ to $+P/2$) $Vec_j = j \mid \min D(Vec_i, j + Vec_j')$
 Motion Vector = (Vec_i, Vec_j)

- ☐ **Hardware-Oriented**
- ☐ **Full Data Reuse**
- ☐ **Regular Data Flow**
- ☐ **Fixed-Step Operation**
- ☐ **Good Performance**



One-Dimensional Full Search Procedure
Search Range -7 to +7
Motion Vector (3,6) in this case

Ref: Mei-Juan Chen, Liang-Gee Chen and Tzi-Dar Chiueh,
 "One-Dimensional Full Search Motion Estimation Algorithm for Video Coding",
 IEEE Transactions on Circuits and Systems for Video Technology,
 Vol.4, No.5, October 1994.



@NTUEE
DSP/IC Lab

Performance Comparison

	Miss America	Train Calendar	Table Tennis
PSNR (dB)			
2DFS	38.64	19.57	25.48
1DFS	38.39	19.45	24.55
3Step	38.20	19.40	24.06
Entropy (bits/pixel)			
2D FS	3.49	5.93	5.27
1DFS	3.52	5.94	5.39
3Step	3.56	5.94	5.47

30 frames/sec Sequences

25



@NTUEE
DSP/IC Lab

Matching Criteria

- Cross-Correlation Function(CCF)
- Mean Squared Error(MSE)
- **Mean Absolute Error(MAE)**
- Pel Difference Classification(PDC)
- **Minimized Maximum Error (MiniMax)**

$x_t(k,l)$: luminance for the location (k,l) in $X_t(p,q)$

$x_{t-1}(k+i,l+j)$: luminance for the shifted location
by i pels and j lines within the search range

26



@NTUEE
DSP/IC Lab

Matching Criteria

Cross-Correlation Function(CCF)

$$CCF(i,j) = \frac{\sum_{k=1}^N \sum_{l=1}^N x_t(k,l) \cdot x_{t-1}(k+i,l+j)}{\left[\sum_{k=1}^N \sum_{l=1}^N x_t^2(k,l) \right]^{\frac{1}{2}} \left[\sum_{k=1}^N \sum_{l=1}^N x_{t-1}^2(k+i,l+j) \right]^{\frac{1}{2}}}$$

$$(Vec_i, Vec_j) = (i,j) \mid \max CCF(i,j)$$

Mean Squared Error(MSE) --> most widely used in software

$$MSE(i,j) = \frac{1}{N^2} \sum_{k=1}^N \sum_{l=1}^N [x_t(k,l) - x_{t-1}(k+i,l+j)]^2$$

$$(Vec_i, Vec_j) = (i,j) \mid \min MSE(i,j)$$

27



@NTUEE
DSP/IC Lab

Matching Criteria

Mean Absolute Error(MAE) ➡ Most Widely Used in hardware

$$MAE(i,j) = \frac{1}{N^2} \sum_{k=1}^N \sum_{l=1}^N |x_t(k,l) - x_{t-1}(k+i,l+j)|$$

$$(Vec_i, Vec_j) = (i,j) \mid \min MAE(i,j)$$

Pel Difference Classification(PDC)

$$T(k,l,i,j) = \begin{cases} 1, & |x_t(k,l) - x_{t-1}(k+i,l+j)| \leq \text{Threshold} \\ 0, & \text{otherwise} \end{cases}$$

$$G(i,j) = \sum_{k=1}^N \sum_{l=1}^N T(k,l,i,j)$$

$$(Vec_i, Vec_j) = (i,j) \mid \max G(i,j)$$

28



@NTUEE
DSP/IC Lab

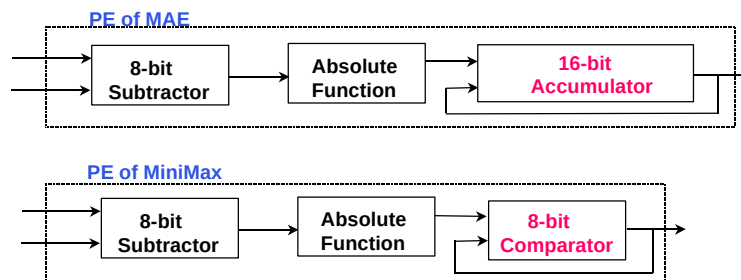
Matching Criteria

Minimized Maximum Error (MiniMax)

Hardware Reduction

$$G(i,j) = \max |x_t(k,l) - x_{t-1}(k+i, l+j)|$$

$$(\text{Vec}i, \text{Vec}j) = (i,j) \mid \min G(i,j)$$



29

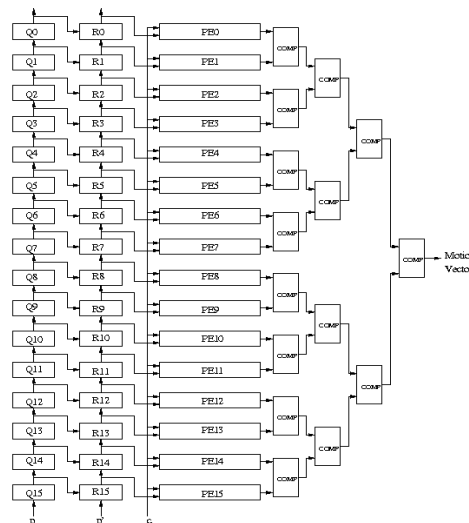


@NTUEE
DSP/IC Lab

Architecture Design and Chip Implementation

- One-Dimensional Full Search
- MiniMax Criterion

Technology	0.8 um CMOS
Chip Size	6.5 mm x 5.3 mm
Number of Transistors	48170
Chip Clock	43 MHz
Number of Pads	61
Power Dissipation	383 mW



30

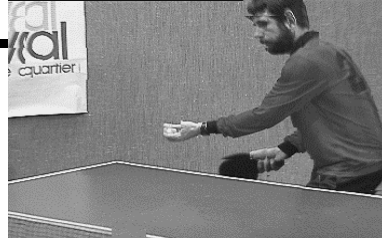


@NTUEE
DSP/IC Lab

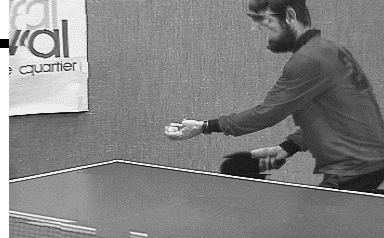
Subjective Quality

1DFS'

Table Tennis I



Min-max

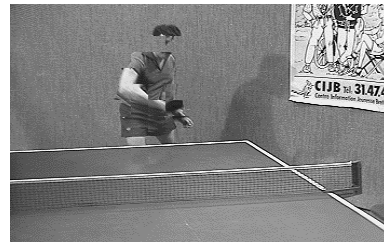


MAE

Table Tennis II



Min-max



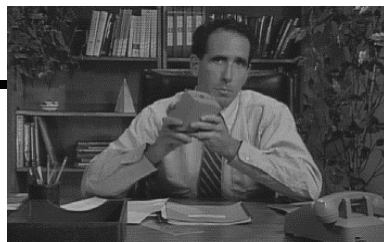
MAE



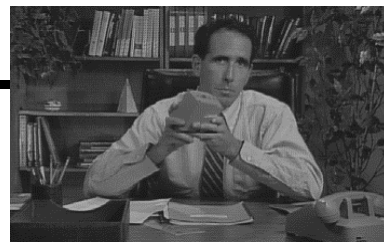
@NTUEE
DSP/IC Lab

31

Salesman



Min-max



MAE

Train & Calendar



Min-max



MAE



@NTUEE
DSP/IC Lab

32

Other Approaches: Pixel Subsampling for MAE calculations

1	2	1	2	1	2	1	2
3	4	3	4	3	4	3	4
1	2	1	2	1	2	1	2
3	4	3	4	3	4	3	4
1	2	1	2	1	2	1	2
3	4	3	4	3	4	3	4
1	2	1	2	1	2	1	2
3	4	3	4	3	4	3	4

Figure 4.15 Pixel decimation for block matching in an 8×8 block.

33



@NTUEE
DSP/IC Lab

Pixel Subsampling for MAE calculations

- For each MAE value , we use only 1/4 of the pixels.
- But every pixel in the block will be used.
- It minimizes the possibility of not considering one-pixel-wide horizontal , vertical and diagonal lines .

34



@NTUEE
DSP/IC Lab

Projections for MAE calculations

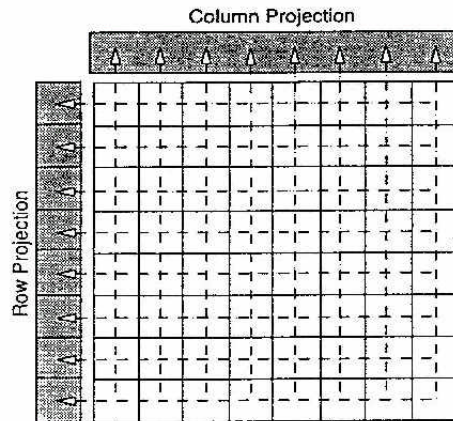


Figure 4.16 Row and column projection of pixels in an 8×8 block.

35



@NTUEE
DSP/IC Lab

Predictive Motion Estimation Using Boundary/Side Match

Motivation

- Relieve huge computation complexity imposed on full search for **large search range** motion estimation
- MPEG and HDTV applications
- Little attention paid in the past

Goal

- Provide solutions for the computation reduction of increasing search area
- Object-based concept

36



@NTUEE
DSP/IC Lab

Predictive Motion Estimation Algorithms

$$V_t(p, q) = E[V_t(p, q)] + dV_t(p, q), \quad |dV_t(p, q)| \leq w$$

$E[V_t(p, q)]$: Predicted Motion Vector

□ Temporal Prediction

○ Inter-Frame Prediction

$dV_t(p, q)$: Refined Displacement

□ Spatial Prediction

W : Reduced Search Range

(e.g. one-quarter of search range Ω)

○ Inter-Block Prediction

○ Median Vector Prediction

○ Proposed Boundary Match Prediction

○ Proposed Side Match Prediction

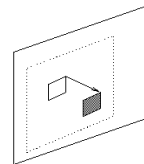
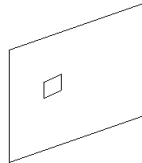
37



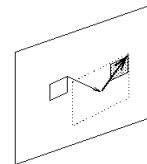
@NTUEE
DSP/IC Lab

Inter-Frame Prediction

$$E[V_t(p, q)] = V_{t-1}(p, q)$$



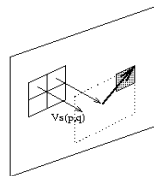
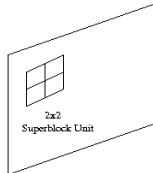
Frame t-1



Frame t

Inter-Block Prediction

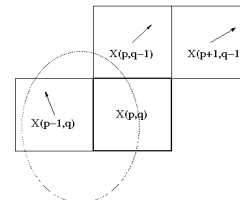
$$E[V_t(p, q)] = V_s(p, q)$$



Median Vector Prediction

$$E[V_t(p, q)] = \arg \text{Median}_{v \in V_{cs}} V$$

$$V_{cs} = \{V_t(p, q-1), V_t(p-1, q), V_t(p+1, q-1)\}$$



38



@NTUEE
DSP/IC Lab

Boundary/Side Match

- ☐ **A motion object often covers many small blocks**
- ☐ **Blocks in the same object have similar motion vectors**
- ☐ **Spatial Neighboring Blocks**
 - Very likely move in the same direction with similar velocities
 - Highly correlated or dependent
- ☐ Utilize the **high spatial correlation** between the **boundary pixels of adjacent blocks** to determine a more accurate initial motion estimation center
- ☐ **Other applications**
 - Vector quantization (VQ)
 - Recovery of lost vector or channel error
 - Transform coded image reconstruction



@NTUEE
DSP/IC Lab

39

Boundary Match

$$[X(p, q)]_j^c = (x_{1j}, x_{2j}, \dots, x_{nj})^T \quad \text{column vector}$$

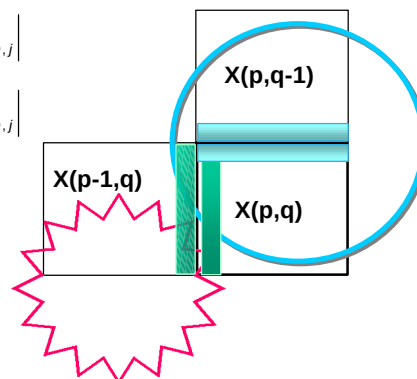
$$[X(p, q)]_i^r = (x_{i1}, x_{i2}, \dots, x_{in}) \quad \text{row vector}$$

$$d_{bm, V_t(p, q-1)} = \sum_{j=1}^n \left| [X(p, q)]_{1,j}^r - [X(p, q-1)]_{n,j}^r \right|$$

$$d_{bm, V_t(p-1, q)} = \sum_{j=1}^n \left| [X(p, q)]_{1,j}^c - [X(p-1, q)]_{n,j}^c \right|$$

$$E[V_t(p, q)] = \arg \min_{v \in V_{cs}} d_{bm, v}$$

$$V_{cs} = \{V_t(p, q-1), V_t(p-1, q)\}$$



@NTUEE
DSP/IC Lab

40

Side Match

$$d_{smU} = \sum_{j=1}^n \left| \left[X_{MC}(p, q) \right]_{1,j}^r - \left[X(p, q-1) \right]_{n,j}^r \right|$$

$$d_{smL} = \sum_{j=1}^n \left| \left[X_{MC}(p, q) \right]_{1,j}^c - \left[X(p-1, q) \right]_{n,j}^c \right|$$

$$d_{smR} = \sum_{j=1}^n \left| \left[X_{MC}(p, q) \right]_{n,j}^c - \left[X(p+1, q) \right]_{1,j}^c \right|$$

$$d_{smD} = \sum_{j=1}^n \left| \left[X_{MC}(p, q) \right]_{n,j}^r - \left[X(p, q+1) \right]_{1,j}^r \right|$$

$$d_{sm} = d_{smU} + d_{smL} + d_{smR} + d_{smD}$$

$$E[V_t(p, q)] = \arg \min_{v \in V_{cs}} d_{sm,v}$$

$$V_{cs} = \{V_t(p, q-1), V_t(p-1, q), V_t(p+1, q-1)\}$$

minimum gray-level transition across four boundaries

41

@NTUEE
DSP/IC Lab

Computation Comparison

Algorithms	Search Range	Computation Complexity (SD/block)
Full Search	-p ~ p	$(2p+1)^2 \times m \times n$
Inter- Frame	-p/2 ~ p/2	$(p+1)^2 \times m \times n$
Inter- Block	-p ~ p - p/2 ~ p/2	$[1/4(2p+1)^2 + 3/4(p+1)^2] \times m \times n$
Median	- p/2 ~ p/2	$(p+1)^2 \times m \times n + 6$
Boundary Match	- p/2 ~ p/2	$(p+1)^2 \times m \times n + m + n$
Side Match	- p/2 ~ p/2	$(p+1)^2 \times m \times n + 6(m + n)$



Objective Performance Measurement

PSNR: Peak-to-Peak Signal-to-Noise Ratio

predicted image compared to the original image

$$PSNR = 10 \times \log_{10} \frac{255^2}{MSE} \quad (\text{dB})$$

Entropy of Prediction Error (bits/pixel)

$$Entropy = - \sum_i p(e_i) \cdot \log_2 p(e_i) = \sum_i p(e_i) \cdot \log_2 \frac{1}{p(e_i)}$$

$p(e_i)$: probability of occurrence of prediction error pattern

43



@NTUEE
DSP/IC Lab

PSNR Comparison

Algorithms	30 frames/sec	15 frames/sec	10 frames/sec
Full Search	27.33	26.90	26.49
Inter-Frame	25.73	25.23	24.66
Inter-Block	26.09	25.52	25.22
Median	25.57	24.93	24.23
Boundary Match	26.40	26.03	25.68
Side Match	26.52	26.17	25.77
IDFS	26.23	25.09	24.49
3-Step	24.94	23.06	23.26

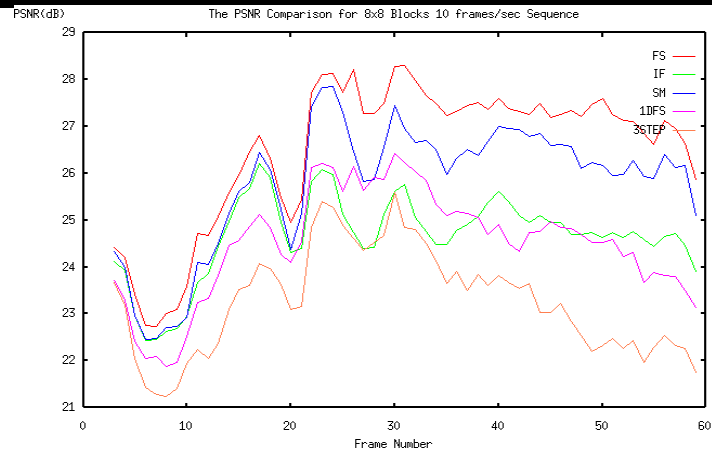
Block size 8x8 60 frames Table Tennis Sequence

44



@NTUEE
DSP/IC Lab

vs Frame Number

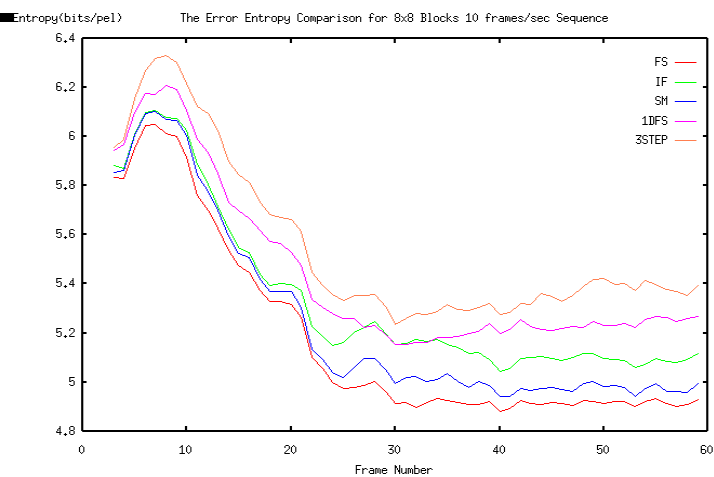


45



@NTUEE
DSP/IC Lab

Error Entropy vs Frame Number



46



@NTUEE
DSP/IC Lab

Motion Vector Characteristics

MV Euclidean Distance(ED) $(V_x - V_x')^2 + (V_y - V_y')^2$

Hit Ratio(HR) Criterion: $|V_x - V_x'| \leq 2$ and $|V_y - V_y'| \leq 2$

Frame Rate	30 frames/sec		15 frames/sec		10 frames/sec	
Algorithms	ED	HR	ED	HR	ED	HR
Inter-Frame	23.52	72.92%	60.05	67.50%	74.67	62.46%
Inter-Block	13.05	76.88%	54.54	71.37%	72.82	67.55%
Median	20.63	73.33%	57.15	66.98%	82.41	60.64%
Boundary Match	10.08	79.70%	47.88	74.76%	66.91	70.73%
Side Match	9.97	80.93%	46.08	75.72%	65.18	71.86%
IDFS	26.71	61.97%	95.13	41.74%	132.27	31.55%
3-Step	23.14	50.81%	100.92	30.39%	144.47	23.80%

47

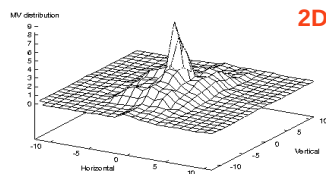


@NTUEE
DSP/IC Lab

Motion Vector Distribution

MV Distribution (Block Size 8x8) with Full Search for 15 frames/sec sequence

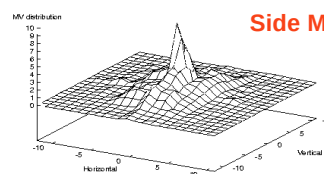
2D Full Search



2DFS

MV Distribution (Block Size 8x8) with Side Match II Prediction Search for 15 frames/sec sequence

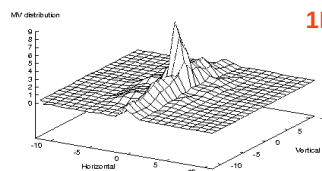
Side Match II Prediction



Side Match

MV Distribution (Block Size 8x8) with 1D Full Search for 15 frames/sec sequence

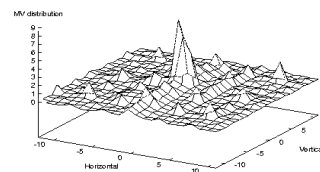
1D Full Search



1DFS

MV Distribution (Block Size 8x8) with 3-Step Search for 15 frames/sec sequence

3-Step Search



3Step

block size 8x8 15 frames/sec Table Tennis Sequence



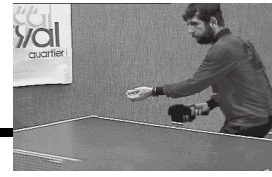
@NTUEE
DSP/IC Lab

Subjective Quality

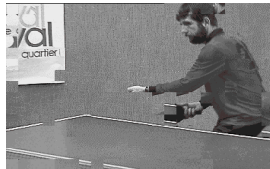
16x16 blocks
15 frames/sec Table Tennis Sequence



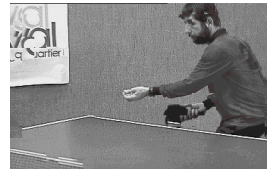
Original



2DFS



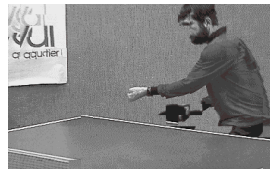
Inter-Frame



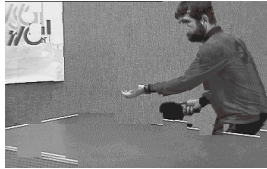
Inter-Block



Boundary Match



1DFS



3Step



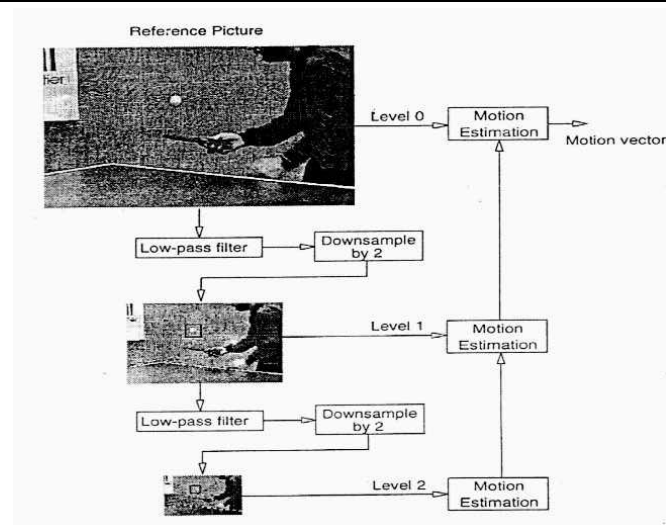
Side Match

49



@NTUEE
DSP/IC Lab

Hierarchical Motion Estimation



50



@NTUEE
DSP/IC Lab

Hierarchical Motion Estimation

1. Level 2

- Picture size = 180×120 , and macroblock size = 4×4 .
- Number of macroblocks = $\frac{\text{Picture size}}{\text{Macroblock size}} = 1,350$. At 30 fps, we have 40,500 macroblocks.
- Search parameter = $\lceil \frac{p}{4} \rceil = 4$.
- Number of search locations = $(2 \times 4 + 1)^2 = 81$.
- Number of operations per search location = macroblock size $\times 3 = 48$.

Complexity for Level 2 = $40,500 \times 81 \times 48 = 157.46$ MOPS.

51



@NTUEE
DSP/IC Lab

Hierarchical Motion Estimation

2. Level 1

- Picture size = 360×240 , and macroblock size = 8×8 .
- Number of macroblocks = 1,350. At 30 fps, we have 40,500 macroblocks.
- Search parameter = 1.
- Number of search locations = 9.
- Number of operations per search location = macroblock size $\times 3 = 192$.

Complexity for Level 1 = $40,500 \times 9 \times 192 = 69.98$ MOPS.

52



@NTUEE
DSP/IC Lab

3. Level 0

- Picture size = 720×480 , and macroblock size = 16×16 .
- Number of macroblocks = 1,350. At 30 fps, we have 40,500 macroblocks.
- Search parameter = 1.
- Number of search locations = 9.
- Number of operations per search location = macroblock size $\times 3 = 768$.

Complexity for Level 0 = $40,500 \times 72 \times 48 = 279.9$ MOPS.



Hierarchical Motion Estimation

- It requires increased storage to keep pictures at different resolutions.
- Motion vector may be inaccurate for regions containing small objects .
- Low-pass filter can reduce noise.



Operations Comparison of Motion- Estimation Methods

Search Method	Operations per Macroblock	Operations for pictures 720 x 40 at 30 fps	
		$p = 15$	$p = 7$
Full-search	$(2p + 1)^2 NM3$	29.89 GOPS	6.99 GOPS
Logarithmic	$(8\lceil \log_2 p \rceil + 1) NM3$	1.02 GOPS	777.60 MOPS
PHODS	$(4\lceil \log_2 p \rceil + 1) NM3$	528.76 MOPS	404.35 MOPS
Hierarchical	$[(2\lceil \frac{p}{4} \rceil + 1)^2 + 180] \frac{NM}{16} 3$	507.38 MOPS	398.52 MOPS

Table 4.1 Computational complexity and MOPS requirements for various motion-estimation algorithms using the MAE criterion, and a $[-p, p]$ search range.



@NTUEE
DSP/IC Lab

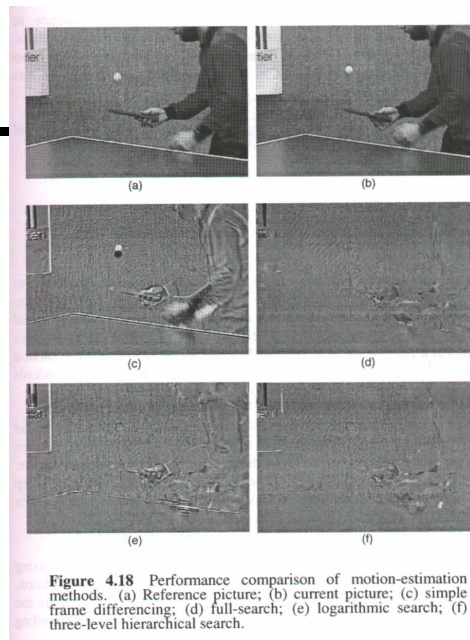


Figure 4.18 Performance comparison of motion-estimation methods. (a) Reference picture; (b) current picture; (c) simple frame differencing; (d) full-search; (e) logarithmic search; (f) three-level hierarchical search.



@NTUEE
DSP/IC Lab

Sub-pixel-accurate motion estimation

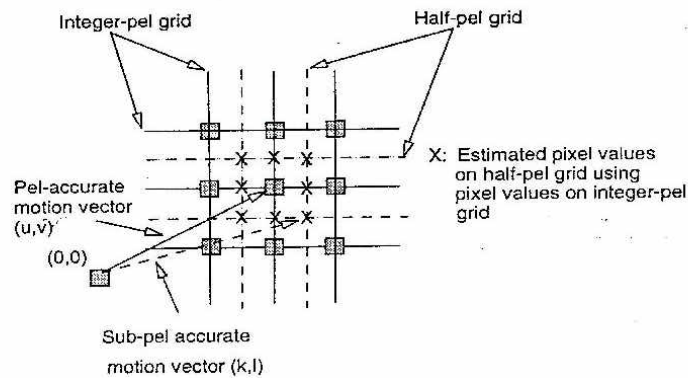


Figure 4.19 Half-pel accurate motion vector estimation.

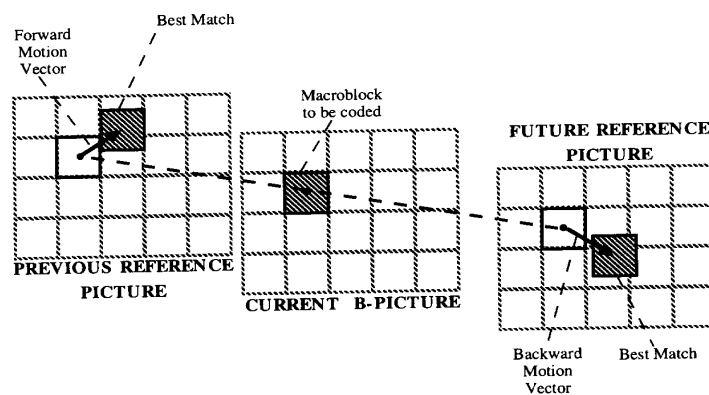
57



@NTUEE
DSP/IC Lab

Bidirectional Temporal Prediction for Progressive Video

- Reference frame must be I or P-frame
- B-picture
- 2 MV



58



JEE
DSP/IC Lab